

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Nigel Boast et al. CONFIRMATION NO.: 8851
SERIAL NO.: 10/593,377
FILING DATE: 02/04/2008
TITLE: APPARATUS AND METHOD FOR USING OZONE AS A
DISINFECTANT
EXAMINER: Not yet assigned
ART UNIT: 1797

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CORRECTION TO FILING RECEIPT

We received the filing receipt for the above-identified patent application. Please amend the appropriate records to reflect the correct Assignment For Published Patent Application and Power of Attorney.

Please change the Assignment For Published Patent Application.

From:

Huawei Technologies Co. LTD., Shenzhen, Guangdong Province, CHINA

To:

ViroForce Systems Inc., Vancouver, British Columbia, CANADA

Please change the Power of Attorney.

From:

Khaled Shami -- 38745

To:

The patent practitioner's associated with customer number 46188.

Enclosed are copies of the filing receipt marked with the appropriate corrections, the executed Declaration and Power of Attorney and the Notice of Recordation. Please provide applicant with an updated filing receipt reflecting the changes requested.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,
THELEN LLP

Dated: 09/09/2008

/Khaled Shami/
Khaled Shami
Reg. No. 38,745

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING of 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	TOT CLAIMS	IND CLAIMS
10/593,377	02/04/2008	1797	515	031280-000020	4	1

CONFIRMATION NO. 8851

FILING RECEIPT



OC000000029737887

Date Mailed: 05/07/2008

Robert E Krebs
Thelen Reid & Priest LLP
P O Box 640640
San Jose, CA 95164-0640

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Nigel Boast, Kelowna, BC, CANADA;
Doug Heselton, Surrey, BC, CANADA;
Jim Hudson, Delta, CANADA;
Sharma Manju, Vancouver, CANADA;

Assignment For Published Patent Application

Viroforce Systems Inc., Vancouver,
~~Huawei Technologies Co., LTD., Shenzhen, Guangdong Province, CHINA~~ *British Columbia, CANADA*

Power of Attorney:

~~Khaled Shami-38745~~ *The patent practitioner's associated with customer number 46188.*

Domestic Priority data as claimed by applicant

- This application is a 371 of PCT/CA05/00412 03/18/2005
- which claims benefit of 60/553,937 03/18/2004
- and claims benefit of 60/625,101 11/05/2004
- and claims benefit of 60/656,888 03/01/2005

Foreign Applications

If Required, Foreign Filing License Granted: 05/02/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/593,377**

Projected Publication Date: 08/14/2008

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Reviewed By: *10* Date: *5/14/08*
No Action Required:
Action Required: *POA, Assignee*

REVIEWED BY DOCKET
MM
05/14/08

Title

Apparatus and Method for Using Ozone as a Disinfectant

Preliminary Class

210

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Atty. Docket No. 031280-020

DECLARATION & POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My correct city and state of residence, my post office address and my citizenship are stated below next to my name.

I believe myself to be the original, first and sole inventor (if only one name is listed below) or an original and first joint inventor (if more than one name is listed below) of the subject matter which is disclosed and claimed and for which a patent is sought on the invention entitled:

APPARATUS AND METHOD FOR USING OZONE AS A DISINFECTANT

The specification of this subject matter:

- ☐ is attached hereto.
- ☒ was filed on 09/18/2006 as United States Application Number 10/593,377 and was amended on _____ (if applicable).
- ☒ was filed on 03/18/2005 as PCT International Application Number PCT/CA2005/000412 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified patent application, including the claims, as amended by any amendment(s) referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)**Priority Claimed****Certified Copy Attached?**

Number	Country	Month/Day/Year Filed	Yes	No	Yes	No

Atty. Docket No. 031280-020

I hereby appoint practitioners associated with **Customer Number: 46,188** as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. If this application is assigned by me I agree and understand that the above-named attorneys will represent the assignee and not me.

Please send all correspondence and direct all telephone calls to the address associated with **Customer Number 46,188**.

I, the undersigned, declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

FULL NAME OF FIRST INVENTOR 1	Name	MIDDLE Initial(s)	LAST Name	
	Nigel		Boast	
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citizenship	
	Kelowna	Canada	Canada	
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FULL NAME OF 2ND INVENTOR 2	Name	MIDDLE Initial(s)	LAST Name	
	Doug		Heselton	
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citizenship	
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FULL NAME OF 3RD INVENTOR 3	Name	MIDDLE Initial(s)	LAST Name	
	Jim		Hudson	
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citizenship	
	Delta	Canada	Canada	
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23 Jan 2008 3:49PM HP LASERJET FAX

P. 4

Arty. Docket No. 031280-020

FULL NAME OF 4th INVENTOR 4	Name	MIDDLE Initial(s)	LAST Name
	Sharma		Manju
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
	Vancouver	Canada	Canada
POST OFFICE ADDRESS	Number and Street	City	State or Country
	373 East 54 th Street	Vancouver	Canada
			Zip Code
			V5X 1L1

I further declare that all statements made herein of my own knowledge are true and that all statements made upon information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

NIGEL BOAST

Date

23-01-08

DOUG HESELTON

Date

23 JAN 2008

JIM HUDSON

Date

23-1-2008

SHARMA MANJU

Date

Atty. Docket No. 031280-020

FULL NAME OF 4th INVENTOR 4	Name	MIDDLE Initial(s)	LAST Name	
	Sharma		Manju	
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citizenship	
	Vancouver	Canada	Canada	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	373 East 54 th Street	Vancouver	Canada	V5X 1L1

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NIGEL SCARF

Date

23-01-08

DOUG HESELTON

Date

29-01-08

JIM HUDSON

Date

SHARMA MANJU

Date

37 C.F.R. §1.56**Duty to disclose information material to patentability**

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

TO:THELEN REID BROWN RAYSMAN & STEINER LLP COMPANY:P.O. BOX 640640

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SEPTEMBER 08, 2008

PTAS

THELEN REID BROWN RAYSMAN & STEINER LLP
P.O. BOX 640640
SAN JOSE, CA 95164UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/08/2008

REEL/FRAME: 021495/0701
NUMBER OF PAGES: 8BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 031280-020

ASSIGNOR:

BOAST, NIGEL

DOC DATE: 01/23/2008

ASSIGNOR:

HESELTON, DOUG

DOC DATE: 01/30/2008

ASSIGNOR:

HUDSON, JIM

DOC DATE: 01/23/2008

ASSIGNOR:

MANJU, SHARMA

DOC DATE: 01/23/2008

TO:THELEN REID BROWN RAYSMAN & STEINER LLP COMPANY:P.O. BOX 640640

021495/0701 PAGE 2

ASSIGNEE:

VIROFORCE SYSTEMS INC.
WORLD TRADE CENTER, SUITE 404-999
CANADA PLACE
VANCOUVER, BRITISH COLUMBIA,
CANADA
V6N 3E5

SERIAL NUMBER: 10593377

FILING DATE: 02/04/2008

PATENT NUMBER:

ISSUE DATE:

TITLE: APPARATUS AND METHOD FOR USING OZONE AS A DISINFECTANT

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

09/08/2008
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>NIGEL BOAST</td><td>01/23/2008</td></tr><tr><td>DOUG HESELTON</td><td>01/30/2008</td></tr><tr><td>JIM HUDSON</td><td>01/23/2008</td></tr><tr><td>SHARMA MANJU</td><td>01/23/2008</td></tr></tbody></table>		Name	Execution Date	NIGEL BOAST	01/23/2008	DOUG HESELTON	01/30/2008	JIM HUDSON	01/23/2008	SHARMA MANJU	01/23/2008
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DOUG HESELTON	01/30/2008										
JIM HUDSON	01/23/2008										
SHARMA MANJU	01/23/2008										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>VIROFORCE SYSTEMS INC.</td></tr><tr><td>Street Address:</td><td>WORLD TRADE CENTER, SUITE 404-999 CANADA PLACE</td></tr><tr><td>City:</td><td>VANCOUVER, BRITISH COLUMBIA</td></tr><tr><td>State/Country:</td><td>CANADA</td></tr><tr><td>Postal Code:</td><td>V6N 3E5</td></tr></table>		Name:	VIROFORCE SYSTEMS INC.	Street Address:	WORLD TRADE CENTER, SUITE 404-999 CANADA PLACE	City:	VANCOUVER, BRITISH COLUMBIA	State/Country:	CANADA	Postal Code:	V6N 3E5
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PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10593377</td></tr></tbody></table>		Property Type	Number	Application Number:	10593377						
Property Type	Number										
Application Number:	10593377										
CORRESPONDENCE DATA											
Fax Number: (408)287-8040 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
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ATTORNEY DOCKET NUMBER:	031280-020										
NAME OF SUBMITTER:	Khaled Shami										
Total Attachments: 6 source=031280-020 Assignment#page1.tif											

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TO:THELEN REID BROWN RAYSMAN & STEINER LLP COMPANY:P.O. BOX 640640

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